

本次课内容在 Mahan 多体物理 3.8 节

计算输运问题

- ① Drude 公式 (经典, 缺乏统计)
- ② 玻尔兹曼方程 (半经典)
- ③ Kubo (久保) 公式  $\rightarrow$  线性输运

可以看作线性响应的条件

- ① 外场强度不大
- ② 空间变化不大  $\rightarrow$  统计上宏观小, 微观大
- ③ 频率不大

线性响应需要外场

- 加磁场 (测磁矩)
- 加温度场 (测能流)
- 加压力场 (测电极化, 杨氏模量)
- 加电场 (测电导率)
- 加光场 (光吸收)

在线性响应条件下, 电流

$$J_{\alpha}(\vec{r}, t) = \sum_{\beta} \int d\vec{r}' \int_{-\infty}^t dt' \sigma_{\alpha\beta}(\vec{r}-\vec{r}', t-t') E_{\beta}(\vec{r}', t') \quad ①$$

$E_{\beta}$  指系统总电场的  $\beta$  分量,  $\beta = x, y, z$ .

设外加电场的形式为

$$\vec{E}(\vec{r}, t) = \vec{\Xi} e^{i\vec{k}\cdot\vec{r} - i\omega t} \quad ②$$

一般情况下, 总电场并不等于外加场, 但我们忽略这些微小的差异,

则把②代入①, 得

$$\begin{aligned} J_{\alpha}(\vec{r}, t) &= \sum_{\beta} \Xi_{\beta} \int d\vec{r}' \int_{-\infty}^t dt' \sigma_{\alpha\beta}(\vec{r}-\vec{r}', t-t') e^{i\vec{k}\cdot\vec{r}' - i\omega t'} \\ &= \sum_{\beta} \Xi_{\beta} \left[ \int d\vec{r}' \int_{-\infty}^t dt' \sigma_{\alpha\beta}(\vec{r}-\vec{r}', t-t') e^{i\vec{k}\cdot(\vec{r}'-\vec{r}) - i\omega(t'-t)} \right] e^{i\vec{k}\cdot\vec{r}} \\ &= \sum_{\beta} \Xi_{\beta} \sigma_{\alpha\beta}(\vec{k}, \omega) e^{i\vec{k}\cdot\vec{r} - i\omega t} \end{aligned}$$

电流的表达式

$$J_{\alpha}(\vec{r}) = e \langle \sum_i \hat{v}_{i\alpha} \delta(\vec{r}-\vec{r}_i) \rangle \quad \text{①}$$

速度算符  $\hat{v} = \frac{\partial \vec{r}}{\partial t} = \frac{1}{i\hbar} [\vec{r}, \hat{H}]$

哈密顿量  $\hat{H} = \frac{1}{2m} (\vec{p} - \frac{e}{c} \vec{A}(\vec{r}))^2$

由于  $[\vec{r}, f(\vec{p})] = i\hbar \frac{\partial f(\vec{p})}{\partial \vec{p}}$ , 因此

$$\hat{v} = \frac{1}{m} [\vec{p} - \frac{e}{c} \vec{A}(\vec{r})]$$

代入①, 得

$$\begin{aligned} J_{\alpha}(\vec{r}) &= \frac{e}{m} \langle \sum_i \hat{p}_{i\alpha} \delta(\vec{r}-\vec{r}_i) \rangle - \frac{e}{mc} \langle \sum_i A_{i\alpha}(\vec{r}_i) \delta(\vec{r}-\vec{r}_i) \rangle \\ &= J_{\alpha}^{(2)}(\vec{r}) + J_{\alpha}^{(1)}(\vec{r}) \end{aligned}$$

$$\begin{aligned} J_{\alpha}^{(1)}(\vec{r}) &= -\frac{e}{mc} \langle \int \psi^{\dagger}(\vec{r}_i) A_{i\alpha}(\vec{r}_i) \delta(\vec{r}-\vec{r}_i) \psi(\vec{r}_i) d\vec{r}_i \rangle \\ &= -\frac{e}{mc} \langle A_{i\alpha}(\vec{r}) \psi^{\dagger}(\vec{r}) \psi(\vec{r}) \rangle \\ &= -\frac{e}{mc} A_{i\alpha}(\vec{r}) \langle \psi^{\dagger}(\vec{r}) \psi(\vec{r}) \rangle \\ &= -\frac{en_0}{mc} A_{i\alpha}(\vec{r}) \end{aligned}$$

由于  $\frac{1}{c} A_{\alpha} = \frac{-i}{\omega} E_{\alpha}$  (电动力学)

$$J_{\alpha}^{(1)}(\vec{r}) = i \frac{en_0}{m\omega} E_{\alpha}(\vec{r})$$

定义流算符

$$\hat{J}_{\alpha}(\vec{r}) = \frac{1}{2m} e \sum_i [\hat{p}_{i\alpha} \delta(\vec{r}-\vec{r}_i) + \delta(\vec{r}-\vec{r}_i) \hat{p}_{i\alpha}] \xrightarrow{\text{作业}} \text{二次量子化}$$

$$J_{\alpha}^{(2)}(\vec{r}) = \langle \hat{j}_{\alpha}(\vec{r}) \rangle$$

在前面我们已提到, 对于物理可观测量

$$\begin{aligned} \langle B(t) \rangle &= \text{Tr}(\rho \hat{B}) \\ &= B_0 - \frac{i}{\hbar} \int_{-\infty}^t \langle\langle [\hat{B}(t), H'_E(t')] \rangle\rangle dt' \end{aligned}$$

在这里

$$H'(t) = \sum_{\beta} -\frac{1}{c} \int d\vec{r} \hat{j}_{\beta}(\vec{r}) A_{\beta}(\vec{r}, t)$$

$$A_{\beta}(\vec{r}, t) = A_{\beta} e^{i\vec{q}\cdot\vec{r} - i\omega t}$$

$$\text{则 } H'(t) = \sum_{\beta} -\frac{1}{c} \int d\vec{r} \hat{j}_{\beta}(\vec{r}) A_{\beta} e^{i\vec{q}\cdot\vec{r} - i\omega t}$$

$$= \sum_{\beta} -\frac{1}{c} \hat{j}_{\beta}(-\vec{q}) A_{\beta} e^{-i\omega t}$$

$$= \frac{i}{\omega} \sum_{\beta} E_{\beta}(\vec{r}, t) e^{-i\vec{q}\cdot\vec{r}} \hat{j}_{\beta}(-\vec{q})$$

由于加外场前是没有电流的, 所以  $\vec{j}_0 = 0$

$$\text{则 } J_{\alpha}^{(2)}(\vec{r}, t) = \langle \hat{j}_{\alpha}(\vec{r}, t) \rangle = -\frac{i}{\hbar} \int_{-\infty}^t \langle\langle [\hat{j}_{\alpha}(\vec{r}, t), H'_E(t')] \rangle\rangle dt'$$

$$= \frac{1}{\hbar\omega} \sum_{\beta} \int_{-\infty}^t E_{\beta}(\vec{r}, t) e^{-i\vec{q}\cdot\vec{r}} \langle\langle [\hat{j}_{\alpha}(\vec{r}, t), \hat{j}_{\beta}(-\vec{q}, t')] \rangle\rangle dt'$$

$$= \frac{1}{\hbar\omega} \sum_{\beta} E_{\beta}(\vec{r}, t) e^{-i\vec{q}\cdot\vec{r}} \int_{-\infty}^t e^{i\omega(t-t')} \langle\langle [\hat{j}_{\alpha}(\vec{r}, t), \hat{j}_{\beta}(-\vec{q}, t')] \rangle\rangle dt'$$

$$\text{由于 } \hat{j}_{\alpha}(\vec{r}, t) = \sum_{\beta} E_{\beta}(\vec{r}, t) \sigma_{\alpha\beta}(t\vec{q}, \omega)$$

则

$$O_{\alpha\beta}(-\vec{q}, \omega) = \frac{1}{\hbar\omega} e^{-i\vec{q}\cdot\vec{r}} \int_{-\infty}^t e^{i\omega(t-t')} \langle\langle [\hat{j}_\alpha(\vec{r}, t), \hat{j}_\beta(-\vec{q}, t')] \rangle\rangle_0 dt'$$

最后一步, 对空间作平均

$$\frac{1}{V} \int O_{\alpha\beta}(-\vec{q}, \omega) d\vec{r} = \frac{1}{V} \int d\vec{r} \frac{1}{\hbar\omega} e^{-i\vec{q}\cdot\vec{r}} \int_{-\infty}^t e^{i\omega(t-t')} \langle\langle [\hat{j}_\alpha(\vec{r}, t), \hat{j}_\beta(-\vec{q}, t')] \rangle\rangle_0 dt'$$

$$O_{\alpha\beta}(-\vec{q}, \omega) = \frac{1}{\hbar\omega} \int_{-\infty}^t e^{i\omega(t-t')} \langle\langle [j_\alpha(\vec{q}, t), j_\beta(-\vec{q}, t')] \rangle\rangle_0 dt'$$

作业: 二次量子化流算符

$$\vec{J}(\vec{r}) = \frac{1}{2m} e \sum_i [\hat{P}_i \delta(\vec{r}-\vec{r}_i) + \delta(\vec{r}-\vec{r}_i) \hat{P}_i]$$

$$\vec{J}(\vec{r}) = \frac{e}{2m} \int \psi^\dagger(\vec{r}_i) [\hat{P}_i \delta(\vec{r}-\vec{r}_i) + \delta(\vec{r}-\vec{r}_i) \hat{P}_i] \psi(\vec{r}_i) d\vec{r}_i$$

$$= \frac{e}{2m} \int \psi^\dagger(\vec{r}_i) \hat{P}_i [\delta(\vec{r}-\vec{r}_i) \psi(\vec{r}_i)] d\vec{r}_i + \frac{e}{2m} \int \psi^\dagger(\vec{r}_i) \delta(\vec{r}-\vec{r}_i) \hat{P}_i \psi(\vec{r}_i) d\vec{r}_i$$

$$= \frac{e}{2m} \int \hat{P}_i [\psi^\dagger(\vec{r}_i) \delta(\vec{r}-\vec{r}_i) \psi(\vec{r}_i)] - \hat{P}_i [\psi^\dagger(\vec{r}_i)] \delta(\vec{r}-\vec{r}_i) \psi(\vec{r}_i) d\vec{r}_i$$

$$+ \frac{e}{2m} \int \psi^\dagger(\vec{r}_i) \delta(\vec{r}-\vec{r}_i) \hat{P}_i \psi(\vec{r}_i) d\vec{r}_i$$

$$\text{第一项} \quad -i\hbar \int \frac{\partial}{\partial \vec{r}_i} [\psi^\dagger(\vec{r}_i) \delta(\vec{r}-\vec{r}_i) \psi(\vec{r}_i)] d\vec{r}_i = -i\hbar [\psi^\dagger(\vec{r}_i) \delta(\vec{r}-\vec{r}_i) \psi(\vec{r}_i)] \Big|_{-\infty}^{+\infty} = 0$$

因此

$$\vec{J}(\vec{r}) = \frac{e}{2m} i\hbar \int \left( \frac{\partial}{\partial \vec{r}_i} \psi^\dagger(\vec{r}_i) \right) \delta(\vec{r}-\vec{r}_i) \psi(\vec{r}_i) - \psi^\dagger(\vec{r}_i) \delta(\vec{r}-\vec{r}_i) \left( \frac{\partial}{\partial \vec{r}_i} \psi(\vec{r}_i) \right) d\vec{r}_i$$

$$= \frac{i\hbar e}{2m} \left[ \frac{\partial \psi^\dagger(r)}{\partial r} \psi(r) - \psi^\dagger(r) \frac{\partial \psi(r)}{\partial r} \right]$$

由于  $\psi(\vec{r}) = \frac{1}{\sqrt{V}} \sum_{\vec{k}} a_{\vec{k}} e^{i\vec{k}\cdot\vec{r}}$        $\psi^\dagger(\vec{r}) = \frac{1}{\sqrt{V}} \sum_{\vec{k}} a_{\vec{k}}^\dagger e^{-i\vec{k}\cdot\vec{r}}$

则

$$\vec{J}(\vec{r}) = \frac{i\hbar e}{2m} \frac{1}{V} \sum_{\vec{k}, \vec{k}'} [-i\vec{k}' a_{\vec{k}'}^\dagger a_{\vec{k}} e^{i(\vec{k}-\vec{k}')\cdot\vec{r}} - i\vec{k} a_{\vec{k}}^\dagger a_{\vec{k}'} e^{i(\vec{k}-\vec{k}')\cdot\vec{r}}]$$

$$= \frac{\hbar e}{2m} \frac{1}{V} \sum_{\vec{k}, \vec{k}'} (\vec{k}' + \vec{k}) a_{\vec{k}'}^\dagger a_{\vec{k}} e^{i(\vec{k}-\vec{k}')\cdot\vec{r}}$$

$$= \frac{1}{V} \sum_{\vec{q}} \vec{J}(\vec{q}) e^{i\vec{q}\cdot\vec{r}} \quad (\vec{k} - \vec{k}' = \vec{q})$$